



Cabana LLC  
Form ADV Part 2B Brochure Supplements  
December 12, 2024

This package is Cabana' brochure supplement (Part 2B of Form ADV) and includes information about each of Cabana's investment adviser representatives (listed below), such as educational background, business experience, and any disciplinary history.

1. George Chaddwick Mason
2. Louis Shaff
3. Christopher Carns
4. J. Neal Prevost
5. Michelle Alsobrook
6. Timothy Ridge
7. Patrick Scobie
8. Ian Scobie
9. Robert Mitchell
10. Joe Marc Hesse
11. David Allen Mazzanti
12. Taylor Woodard
13. Steve Pokorny
14. Casey Mattox
15. Albert Moore



**George Chaddwick Mason, J.D., LL.M., CWM**  
**CEO**  
**Investment Adviser Representative**

**Cabana LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about George Chaddwick Mason that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at 479.442.6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about George Chaddwick Mason is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Mason's CRD number is 5449388.

## Item 2 – Educational Background and Business Experience

### **George Chaddwick Mason, J.D., LL.M, CWM**

**Year of Birth:** 1967

#### **Formal Education after High School:**

- Masters of Laws in International Taxation and Financial Services, Thomas Jefferson University, 2014
- Chartered Wealth Manager, CWM, 2014
- Capital Crimes and Death Penalty Qualified, 1999
- Juris Doctor, University of Denver, 1992
- B.A., Psychology, University of Arkansas, 1989

#### **Business Background:**

##### *Investment Experience*

- 01/17 – Present, The Cabana Group, LLC, CEO
- 12/16 – Present, Cabana Institutional LLC, Manager
- 07/15 – Present, Cabana Financial, Manager/Producer
- 01/08 - Present, Cabana LLC (Cabana Asset Management), Manager/Investment Adviser Representative

##### *Legal Experience*

- 08/16 – Present, Cabana Law Group formerly Prevost, Shaff, Mason, & Carns, PLLC, Attorney/Principal
- 12/13 - 07/16, Gunn, Mason and Kieklak
- 6/11 - 12/13, Circuit Judge, 4<sup>th</sup> District, State of Arkansas
- 08/95 - 5/11, Mason Law Firm
- 1/93 - 7/95, Martin, Trumbo, and Sterling

#### **Professional Designations and Qualifications:**

##### **Masters of Law (LL.M.)**

A Masters of Law is a postgraduate academic degree, pursued by those either holding an undergraduate academic law degree, a professional law degree, or an undergraduate degree in a related subject.

##### **Juris Doctor (JD)**

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year baccalaureate degree for admission, and law school is a three-year (six-semester) course of graduate-level study.

##### **Chartered Wealth Manager (CWM)**

CWM is a professional designation administered by the American Academy of Financial Management®. The CWM wealth management certification & designation is similar to a financial planning certification but is as a graduate certification and curriculum in high net worth consulting and has always required a government recognized education and degree. In order to qualify for the CWM designation, candidates must have three years or more of professional experience in wealth management. Candidates must have requisite educational experience, which may include (1) an AAFM approved degree, (2) an undergraduate or graduate degree from an accredited university in areas including finance, tax, accounting, law, or masters in business administration. All candidates are subject to continuing education and ethics requirements.

### **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Mason and Cabana Asset Management. Mr. Mason has not been involved in any reportable disciplinary events.

### **Item 4 – Other Business Activities**

G. Chadd Mason, Manager and Investment Adviser Representative of Cabana LLC and a licensed insurance agent able to effect transactions in insurance products and earn compensation for these various activities. The firm expects that clients to whom it offers advisory services may also be clients for whom Mr. Mason acts as an insurance agent. Clients are instructed that the fees paid to the firm for advisory services are separate and distinct from the commissions earned by its Investment Adviser Representatives for placing the client in insurance products. Clients to whom the firm offers advisory services are informed that they are under no obligation to purchase insurance services from Mr. Mason and may use the insurance brokerage firm and agent of their choice. A Memorandum of Understanding is executed at the time services are retained which provides, "The parties recognize that the investment adviser is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal services now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted."

G. Chadd Mason, Manager and investment adviser representative of Cabana, is also a licensed attorney and principal of the Cabana Law Group LLC, a law practice located in Fayetteville, Arkansas.. This fact is disclosed to clients during the initial client consultation. At the time of execution of the advisory agreement, clients are required to explicitly acknowledge their understanding that Mr. Mason is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the advisory relationship.

The receipt of dual compensation creates a conflict of interest. The conflict is mitigated by the fact that the firm has adopted a code of ethics that requires all associated persons to place the interests of clients first. Additionally, as attorneys, associated persons of the firm are also fiduciaries and are required to act in the best interest of clients.

Clients of the Cabana Law Group LLC are not required to invest with Cabana and clients of Cabana are not required to obtain legal advice from the Cabana Law Group, as all clients have the right to choose their legal representation and investment adviser.

Mr. Mason spends the majority of his professional time in his capacities as an executive and portfolio manager with Cabana Asset Management providing portfolio development to the firm, asset management and financial services. His legal practice is limited to investments and investment adviser compliance.

Mr. Mason is also a member of, 6220, LLC, which is a family-owned holding company. Mr. Mason's involvement in this entity is passive and limited in scope. Mr. Mason is a member of Mason Farms LLC which is an agricultural cattle business, and his involvement is limited in scope. Finally, Mr. Mason is a shareholder in several real estate holdings in Fayetteville, Arkansas and Dallas, Texas. His involvement in such entities is passive and limited. All such holdings of Mr. Mason are private and not comingled with any client relationship.

#### **Item 5 – Additional Compensation**

Apart from the receipt of additional compensation as a result of the outside business activities listed under Item 4 above, Mr. Mason does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

#### **Item 6 – Supervision**

Mr. Mason is an investment adviser representative of Cabana. In this role, Mr. Mason is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Mason's investment advisory activities are supervised by Cabana's CFO, Louis Shaff, and Cabana's CCO, Albert Moore.

Cabana has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Mason adheres himself to Cabana's code of ethics and compliance manual as mandated. Clients may contact Mr. Mason at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana's code of ethics.

Additionally, Cabana is subject to regulatory oversight by various agencies. These agencies require registration by Cabana and its employees. As a registered entity, Cabana is subject to examinations by regulators, which may be announced or unannounced. Cabana is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Louis A. Shaff, J.D., LL.M. Taxation**  
**CFO**  
**Investment Adviser Representative**

**Cabana LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure**

This Brochure Supplement provides information about Louis A. Shaff that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Louis A. Shaff is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Shaff's CRD number is 5449638.

## **Item 2 – Educational Background and Business Experience**

### **Louis A. Shaff, J.D., LL.M. Taxation**

**Year of Birth:** 1967

#### ***Formal Education after High School:***

- Bachelor of Business Administration, The George Washington University, Washington, D.C. 1989
- Juris Doctor, University of Denver, Denver, Colorado 1993
- Masters in Laws in Taxation, University of Denver, Denver, Colorado 1994

#### ***Business Background:***

##### *Investment Experience*

- 1/13 - Present, Cabana LLC (Cabana Asset Management), Member/Investment Adviser Representative
- 7/15 – Present, Cabana Financial, Manager/Producer
- 12/16 – Present, Cabana Institutional, Manager
- 1/17- Present, The Cabana Group, LLC, CFO

##### *Legal Experience*

- 4/99 – 09/16, Prevost and Shaff, Attorney/Principal
- 09/16 – 03/23, Prevost, Shaff, Mason, & Carns, PLLC, Attorney/Principal
- 04/23 – Present, Hesse, Hesse & Blythe, P.C.

#### **Professional Designations and Qualifications:**

##### **Master of Law (LL.M.)**

A Master of Law is a post graduate academic degree, pursued by those either holding an undergraduate academic law degree, a professional law degree, or an undergraduate degree in a related subject.

##### **Juris Doctor (JD)**

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year Baccalaureate degree for admission and law school is a three-year (six-semester) course of graduate-level study.

## **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Shaff and Cabana Asset Management. Mr. Shaff has not been involved in any reportable disciplinary events.

## **Item 4 – Other Business Activities**

Louis A. Shaff, Investment Adviser Representative of Cabana, is also a licensed insurance agent and can affect transactions in insurance products and earn compensation for these activities. The firm expects that clients to whom it offers advisory services may also be clients for whom Mr. Shaff acts as an



insurance agent. Clients are instructed that the fees paid to the firm for advisory services are separate and distinct from the commissions earned by its Investment Adviser Representatives for placing the client in insurance products. Clients to whom the firm offers advisory services are informed that they are under no obligation to purchase insurance services from Mr. Shaff and may use the insurance brokerage firm and agent of their choice.

Louis A. Shaff is also a licensed attorney and Partner of Hesse, Hesse & Blythe, P.C., a law practice located in Plano, Texas. This fact is disclosed to clients during the initial client consultation. A Memorandum of Understanding is executed at the time services are retained which provides, "The parties recognize that the investment adviser is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal services now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted."

Mr. Shaff spends the majority of his professional time in his capacities with Cabana LLC (Cabana Asset Management) providing executive leadership, asset management and financial services. His legal practice is limited to tax matters, estate planning, business planning, investments, and investment adviser compliance.

Mr. Shaff is also a member of BLBS, LLC, which is a family owned holding company. Mr. Shaff's involvement in this entity is passive and limited in scope.

Mr. Shaff has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

#### **Item 5 – Additional Compensation**

Apart from the receipt of additional compensation as a result of the outside business activities listed under Item 4 above, Mr. Shaff does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

#### **Item 6 – Supervision**

Mr. Shaff is an investment adviser representative and CFO of Cabana LLC (Cabana Asset Management). In this role, Mr. Shaff is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. As CFO, Mr. Shaff is responsible for overseeing Cabana's finances. Mr. Shaff's advisory and management activities are supervised by Cabana's CEO, Chadd Mason, and CCO, Albert Moore.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Shaff adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Shaff at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Christopher L. Carns, J.D.**  
**COO**  
**Investment Adviser Representative**

**Cabana LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Christopher L. Carns that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at 479.442.6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher L. Carns is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Carns's CRD number is 6655530.

### **Item 2 – Educational Background and Business Experience**

**Christopher L. Carns, J.D.**

**Year of Birth:** 1983

***Formal Education after High School:***

- University of Texas at Austin, Bachelors of Business Administration, May 2004
- Southern Methodist University, Dedman School of Law, December 2011

***Business Background:***

- 02/18-Present, Cabana, LLC, Investment Adviser Representative.
- 08/16-03/23, Prevost, Shaff, Mason, & Carns, PPLC, Partner/Attorney
- 04/23-Present, Hesse, Hesse and Blythe

**Professional Designations and Qualifications:**

**Juris Doctor (JD)**

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year baccalaureate degree for admission, and law school is generally a three-year (six-semester) course or graduate level study.

State Bar of Texas, Member

### **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Carns and Cabana Asset Management. Mr. Carns has not been involved in any reportable disciplinary events.

### **Item 4 – Other Business Activities**

Mr. Carns is a licensed attorney and Partner of Prevost, Shaff, Mason, & Carns, PLLC. This fact is disclosed to clients during the initial client consultation. A memorandum of understanding is executed at the time services are retained which provides, "The parties recognize that the investment adviser is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal services now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted."

Mr. Carns is also a member of, HCCJ, LLC, which is a family owned holding company. Mr. Carns involvement in this entity is passive and limited in scope.

Mr. Carns owns OK BJS, LLC, which is a holding company for his Broken Bow rental.

Mr. Carns also owns DCJS, LLC, which is an operating company for his The Gents Place franchise.

Mr. Carns has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

#### **Item 5 – Additional Compensation**

Apart from the receipt of compensation for the activities listed under Item 4 above, Mr. Carns does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

#### **Item 6 – Supervision**

Mr. Carns is an investment adviser representative and COO of Cabana Asset Management. In this role, Mr. Carns is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. As COO, Mr. Carns is also responsible for overseeing Cabana's operations. Mr. Carns' activities are supervised by Cabana's CEO, Chadd Mason, Cabana's CFO, Louis Shaff, and Cabana's CCO, Albert Moore.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Carns adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Carns at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**J. Neal Prevost, J.D.**  
**Member/Investment Advisor Representative**

**Cabana LLC**  
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**March 26, 2024**

**Form ADV Part 2B Brochure**

This Brochure Supplement provides information about J. Neal Prevost that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at 479.442.6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about J. Neal Prevost is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Prevost's CRD number is 1630296.

## Item 2 – Educational Background and Business Experience

### Principal Executive Officers and Management Persons

**J. Neal Prevost, J.D.**

**Year of Birth:** 1960

#### **Formal Education after High School:**

- B.B.A. Entrepreneurship, Baylor University, 1982
- Juris Doctor, Louisiana State University, 1993

#### **Business Background:**

##### *Investment Experience*

- 2012 – Present, The Cabana Group, LLC - Member
- 2012 – Present, Cabana Asset Management, Investment Adviser Representative
- 1987 – 1990, Primerica Financial Services, Regional Vice President

##### *Legal Experience*

- 2020 – Present, Certified Divorce Financial Analyst
- 2016 – 04/2023, Prevost, Shaff, Mason, & Carns, LLP, Attorney/Principal
- 04/2023 – Present, Prevost Law Firm, Attorney

#### **Professional Designations and Qualifications:**

##### **Juris Doctor (JD)**

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year baccalaureate degree for admission, and law school is a three-year (six-semester) course or graduate level study.

## Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Prevost and Cabana Asset Management. Mr. Prevost has not been involved in any reportable disciplinary events.

## Item 4 – Other Business Activities

J. Neal Prevost, member, and investment adviser representative of Cabana Asset Management is also a licensed attorney and Sole Member of Prevost Law Firm. This fact is disclosed to clients during the initial client consultation. A memorandum of understanding is executed at the time services are retained which provides, "The parties recognize that the investment advisor is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal services now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted."

Mr. Prevost is the founder and Executive Director of the Center for Divorce Management, which is an organization which promotes cooperative, rather than adversarial divorce. Mr. Prevost spends a substantial amount of his time in the support of this organization.

Mr. Prevost is an investor in PV National, LLC, a solar energy construction and service company. His involvement in this entity is passive and limited in scope

Mr. Prevost is also a member of, PPLAN, LLC, which is a family-owned holding company. Mr. Prevost involvement in this entity is passive and limited in scope.

Mr. Prevost has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

#### **Item 5 – Additional Compensation**

Apart from the receipt of compensation for the activities listed under Item 4 above, Mr. Prevost does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

#### **Item 6 – Supervision**

Mr. Prevost is an investment adviser representative of Cabana Asset Management. In this role, Mr. Prevost is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Prevost adheres himself to Cabana Asset Management's code of ethics and compliance manual as mandated. Mr. Prevost's advisory activities are supervised by G. Chadd Mason, CEO and Albert Moore, the Chief Compliance Officer of Cabana Asset Management. Clients may contact the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's code of ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.





**Michelle L. Alsobrook**  
**Vice President of Operations**  
**Investment Adviser Representative**

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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Michelle L. Alsobrook that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at 479.442.6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Michelle L. Alsobrook is available on the SEC's website at <https://adviserinfo.sec.gov>. Mrs. Alsobrook's CRD number is 4844599.

### Item 2 – Educational Background and Business Experience

**Michelle L. Alsobrook**

**Year of Birth:** 1969

**Formal Education after High School:**

- Saginaw Valley State University, Bachelor of Arts, Physical Education, 1991

**Business Background:**

- 06/16-Present, Cabana Asset Management, Vice President of Operations/Investment Advisor Representative

### Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mrs. Alsobrook and Cabana Asset Management. Mrs. Alsobrook has not been involved in any reportable disciplinary events.

### Item 4 – Other Business Activities

Mrs. Alsobrook is a licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities but does not currently do so.

Ms. Alsobrook has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

### Item 5 – Additional Compensation

Mrs. Alsobrook does not receive additional compensation or economic benefits from third party sources in connection to her advisory activities.

### Item 6 – Supervision

Mrs. Alsobrook is an investment adviser representative of Cabana Asset Management. In this role, Mrs. Alsobrook is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mrs. Alsobrook's activities are supervised by Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mrs. Alsobrook adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mrs. Alsobrook at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Timothy Ridge, CPA**  
**Investment Adviser Representative**

**Cabana LLC**  
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**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Timothy Ridge that supplements the Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Timothy Ridge is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Ridge's CRD number is 4544208.

## Item 2 – Educational Background and Business Experience

**Timothy Ridge, CPA**

**Year of Birth:** 1967

**Formal Education after High School:**

- 1997 Magna Cum Laude' graduate from the University of Central Arkansas (UCA) with a 3.88 in Business Administration with an emphasis in Accounting; Graduated from UCA's Honors College preparing and presenting an Oxford Tutorial and Senior Thesis with Critical Thinking and Writing Skills, Economics and Interdisciplinary Arts as mentored by Dr. Norb Schedler (graduate of Princeton University and who taught at Concordia Senior College, and Purdue University before founding the Honors College at UCA in 1982.

**Business Background:**

- 12/18-Present, Cabana Asset Management, Investment Advisor Representative
- 2003-Present, President & Founder of Scruggs Ridge & Company CPAs as to work closely with small business owners and individual clients with retirement and investment planning along with tax planning and preparation.
- Additional Personal Classes and Training - How to Study for Application - Essentials of Practice Management - Improving Business Through Communication - Overcoming the Stresses in Work and Life - 2017 CPA Summit - Real Management Tools for Your Practice - Winning in the Game of Life, Part 1, 2 and 3 - The Art of Effective Leadership with Team Building - Statistical Management
- Professional Certifications and License - Certified Public Accountant - Registered Investment Advisor (Series 66) - State of Arkansas Insurance License (Life, Health & Variable).
- Professional Associations - American Institute of Certified Public Accountants, Arkansas Society of Certified Public Accountants, Alabama Society of Certified Public Accountants, AICPA Peer Review Committee, Arkansas Society of Accountants

**Professional Designations and Qualifications: Certified Public Accountant (CPA):** CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

In addition to the *Code of Professional Conduct*, AICPA members who provide personal financial planning services are required to follow the *Statement on Standards in Personal Financial Planning Services* (the Statement). Most state boards of accountancy define financial planning as the practice of

public accounting and therefore have jurisdiction over CPAs practicing in this discipline; state boards would likely look to the Statement as the authoritative guidance in this practice area regardless of specific or blanket adoption of AICPA standards.

### **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Ridge and Cabana Asset Management. Mr. Ridge has not been involved in any disciplinary events reportable under this item.

### **Item 4 – Other Business Activities**

Mr. Ridge, the co-owner of Scruggs, Ridge & Co., an Arkansas based accounting practice. Mr. Ridge devotes the majority of his professional time and derives the majority of his income from his accounting practice. The fees paid to Cabana for advisory services are separate and distinct from fees paid to Mr. Ridge for accounting services. Clients of Cabana are not obligated to utilize the accounting services offered by Mr. Ridge. Mr. Ridge is also licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities.

Mr. Ridge owns a 50% share of a Class A office complex with a partner that is a client of his CPA firm. He owns 100% state in a Class B office complex that rents to non-business and investment related tenants only. He is the Executive Director of Timothy Ridge Education Foundation of which the work is voluntary, and no funds are paid for any of his services. Owns 100% stake in a Waco, Texas Townhouse that uses a non-related management company to rent to Baylor Students. He is the Managing Member of a Single Member, LLC that is owned 100% by his rollover IRA through which various interests in three Commercial Properties in the Greater Little Rock area are owned and held.

Mr. Ridge has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

### **Item 5 – Additional Compensation**

Mr. Ridge does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

### **Item 6 – Supervision**

Mr. Ridge is an investment adviser representative of Cabana Asset Management. In this role, Mr. Ridge is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Ridge's advisory activities are supervised by G. Chadd Mason, CEO and Albert Moore, CCO of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Ridge adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Moore, the Chief Compliance Officer, at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Patrick Scobie, J.D.**  
**Investment Adviser Representative**

**Cabana LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure**

This Brochure Supplement provides information about Patrick Scobie that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Patrick Scobie is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Scobie's CRD number is 6218745.



## **Item 2 – Educational Background and Business Experience**

**Patrick Scobie, J.D.**

**Year of Birth:** 1966

***Formal Education after High School:***

- University of Denver, Master of Laws in Taxation
- University of Denver, Juris Doctorate
- Boston University, Bachelor of Arts, Economics

***Business Background:***

- 06/13-Present, Cabana Asset Management, Investment Advisor Representative
- 10/19-Present, Patrick S. Scobie, Attorney
- 07/95-10/19, Scobie & Morlang, LLC, Attorney 07/06-Present, Mason Companies, Inc., Member of Board of Directors,
- 07/09-Present, Mason Companies, Inc., Chairman

**Professional Designations and Qualifications:**

**Juris Doctor (JD)**

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year Baccalaureate degree for admission and law school is generally a three-year (six-semester) course of graduate-level study.

## **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Scobie and Cabana Asset Management. Mr. Scobie has not been involved in any reportable disciplinary events.

## **Item 4 – Other Business Activities**

Patrick Scobie is also a licensed attorney and owner of Patrick S. Scobie, PC.. This fact is disclosed to clients during the initial client consultation. A Memorandum of Understanding is executed at the time services are retained which provides, "The parties recognize that the investment advisor is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal serves now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted."

Patrick Scobie is also a member of the board of directors, of Mason Companies, Inc., a family business founded in 1904. Mr. Scobie has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

**Item 5 – Additional Compensation**

Mr. Scobie does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

**Item 6 – Supervision**

Mr. Scobie is an investment adviser representative of Cabana Asset Management. In this role, Mr. Scobie is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Scobie's advisory activities are supervised by G. Chadd Mason, CEO and Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Scobie adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Scobie at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Ian Scobie**  
**Investment Adviser Representative**

**Cabana LLC**  
**d/b/a**  
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**March 26, 2024**

**Form ADV Part 2B Brochure**

This Brochure Supplement provides information about Ian Scobie that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Ian Scobie is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Scobie's CRD number is 7117729.

## Item 2 – Educational Background and Business Experience

**Ian Scobie**

**Year of Birth:** 1995

**Formal Education after High School:**

- Colorado College, Bachelor of Arts, Economics

**Business Background:**

- 12/19-Present, Cabana Asset Management, LLC, Investment Advisor Representative
- 12/19-Present, Patrick S Scobie PC, Office Manager

## Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Scobie and Cabana Asset Management. Mr. Scobie has not been involved in any reportable disciplinary events.

## Item 4 – Other Business Activities

Ian Scobie is the office manager for the law office of Patrick S. Scobie. This does not include any legal consultation or representation. Mr. Ridge is also a licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities.

Ian Scobie is a licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities.

## Item 5 – Additional Compensation

Mr. Scobie does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

## Item 6 – Supervision

Mr. Scobie is an investment adviser representative of Cabana Asset Management. In this role, Mr. Scobie is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Scobie's advisory activities are supervised by G. Chadd Mason, CEO and Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Scobie adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients

may contact Mr. Scobie at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Robert J. Mitchell, J.D.**  
**Investment Advisor Representative**

**Cabana LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure**

This Brochure Supplement provides information about Robert J. Mitchell that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Mitchell is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Mitchell's CRD number is 57403159.

## Item 2 – Educational Background and Business Experience

**Robert J. Mitchell, J.D.**

**Year of Birth:** 1968

**Formal Education after High School:**

- B.S. English/Journalism, University of Arkansas, Fayetteville, 1990
- Juris Doctor, University of Arkansas School of Law, 1995

**Business Background:**

- 05/2013 – Present, Cabana Asset Management, LLC, Investment Adviser Representative.

**Legal Experience**

- 2009 – 2019, Taylor Law Partners, LLP, Attorney
- 2019 – Present, J.B. Hunt Transport Inc., VP Legal and Corporate Counsel

**Professional Designations and Qualifications:**

**Juris Doctor (JD)**

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation’s law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year baccalaureate degree for admission, and law school is generally a three-year (six-semester) course or graduate level study.

## Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Mitchell and Cabana Asset Management. Mr. Mitchell has not been involved in any reportable disciplinary events.

## Item 4 – Other Business Activities

Robert J. Mitchell, investment adviser representative of Cabana Asset Management, is also a licensed attorney. This fact is disclosed to clients during the initial client consultation. A memorandum of understanding is executed at the time services are retained which provides, “The parties recognize that the investment advisor is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal services now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted.” Notwithstanding the foregoing, Mr. Mitchell is not currently in private practice and currently serves as Sr. Director, Corporate Counsel to J.B. Hunt Transport Inc., a public company in a non-investment advisory related role.

Mr. Mitchell has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

**Item 5 – Additional Compensation**

Mr. Mitchell does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

**Item 6 – Supervision**

Mr. Mitchell is an investment adviser representative of Cabana Asset Management. In this role, Mr. Mitchell is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Mitchell adheres himself to Cabana Asset Management's code of ethics and compliance manual as mandated. Mr. Mitchell's advisory activities are supervised by G. Chadd Mason, CEO and Albert Moore, the Chief Compliance Officer of Cabana Asset Management. Clients may contact the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management' code of ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.





**Joe Marc Hesse, J.D.**  
**Investment Adviser Representative**

**Cabana, LLC**  
**d/b/a**  
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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Joe Marc Hesse that supplements the Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Joe Marc Hesse is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Hesse's CRD number is 6874356.

### Item 2 – Educational Background and Business Experience

**Joe Marc Hesse, J.D.**

**Year of Birth:** 1959

**Formal Education after High School:**

- Bachelor of Business Administration; Accounting, Henderson State University, 1980
- Juris Doctor, University of Arkansas at Little Rock, 1982

**Business Background:**

- 11/17-Present, Cabana Asset Management, Investment Adviser Representative,
- 01/01-06/21, Prevost, Shaff, Mason, & Carns, LLP, Attorney,
- 06/21-Present, Hesse, Hesse and Blythe PC,

**Professional Designations and Qualifications:**

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year baccalaureate degree for admission, and law school is generally a three-year (six-semester) course or graduate level study.

State Bar of Texas, Member, 1985

### Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Hesse and Cabana Asset Management. Mr. Hesse has not been involved in any reportable disciplinary events.

### Item 4 – Other Business Activities

Mr. Hesse is also a licensed attorney with Hesse, Hesse & Blythe, PC. This fact is disclosed to clients during the initial client consultation. A Memorandum of Understanding is executed at the time services are retained which provides, "The parties recognize that the investment advisor is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal services now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted." Mr. Hesse is also a licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities.

Mr. Hesse has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

### Item 5 – Additional Compensation

Mr. Hesse does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

#### Item 6 – Supervision

Mr. Hesse is an investment adviser representative of Cabana Asset Management. In this role, Mr. Hesse is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Hesse's advisory activities are supervised by Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Hesse adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Hesse at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**David Allen Mazzanti, J.D.**  
**Investment Adviser Representative**

**Cabana, LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**  
**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about David Allen Mazzanti that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about David Allen Mazzanti is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Mazzanti's CRD number is 6647888.

## Item 2 – Educational Background and Business Experience

**David Allen Mazzanti, J.D.**

**Year of Birth:** 1978

***Formal Education after High School:***

- Arkansas State University, Degree in International Business, 2001
- University of Arkansas at Fayetteville, Masters of Business Administration (MBA), 2002
- University of Arkansas at Fayetteville, Juris Doctorate, Licensed in 2005
- FINRA Series 65, Uniform Investment Adviser Law Examination, 2014

***Business Background:***

- 05/16-Present, Cabana Asset Management, Investment Adviser Representative,
- 03/15-Present, The Mazzanti Firm, Managing Partner/Attorney,
- 06/10-Present, Learning Ladder Preschool Academy, LLC, Managing Member,

**Professional Designations and Qualifications:**

**Juris Doctor (JD)**

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year Baccalaureate degree for admission and law school is generally a three-year (six-semester) course of graduate-level study. Additionally, upon successful graduation from law school, most states also require the applicant to pass a state and multi-national bar examination prior to being licensed.

## Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Mazzanti and Cabana Asset Management. Mr. Mazzanti has not been involved in any reportable disciplinary events.

## Item 4 – Other Business Activities

Mr. Mazzanti is also a licensed attorney and owner of The Mazzanti Firm. This fact is disclosed to clients during the initial client consultation. A Memorandum of Understanding is executed at the time services are retained which provides, "The parties recognize that the investment advisor is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal services now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted."

Mr. Mazzanti is also a Managing Member of Learning Ladder Preschool Academy, LLC a Christian preschool based in Fayetteville, Arkansas.

Mr. Mazzanti devotes the majority of his time to his outside business activities.

Mr. Mazzanti has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

#### **Item 5 – Additional Compensation**

Mr. Mazzanti does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

#### **Item 6 – Supervision**

Mr. Mazzanti is an investment adviser representative of Cabana Asset Management. In this role, Mr. Mazzanti is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Mazzanti's advisory activities are supervised by G. Chadd Mason, CEO and Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Mazzanti adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Mazzanti at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Taylor M. Woodard**  
**Investment Adviser Representative**

**Cabana LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Taylor Woodard that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Taylor Woodard is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Woodard's CRD number is 6129463.

### Item 2 – Educational Background and Business Experience

**Taylor M. Woodard**

**Year of Birth:** 1991

**Formal Education after High School:**

- Valencia Community College, Entrepreneurship, 2012-2013
- University of Central Florida, Entrepreneurship, 2009-2012

**Business Background:**

- 07/20-Present, Cabana Asset Management, Investment Adviser Representative,
- 02/19-Present, Active Assistant, LLC, Client Relations Director,
- 10/17-Present, US Health Advisors, Insurance Agent,
- 10/16-09/17, Preferred Real Estate Brokers, 1099 Sales Agent,
- 04/11-09/17, The Palm Restaurant, Server,
- 10/23 - Present, Luxon Brown Realty, Associate

### Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Woodard and Cabana Asset Management. Mr. Woodard has not been involved in any reportable disciplinary events.

### Item 4 – Other Business Activities

Mr. Woodard has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients. Luxon Brown Realty, where he is a partner in buying and selling property in Arkansas. Mr. Woodard is a licensed insurance agent and can effect

### Item 5 – Additional Compensation

Apart from the receipt of additional compensation as a result of the outside business activities listed under Item 4 above, Mr. Woodard does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

### Item 6 – Supervision

Mr. Woodard is an investment adviser representative of Cabana Asset Management. In this role, Mr. Woodard is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Woodard is also part of the operations team and assists with administrative tasks for our Little Rock location. Mr. Woodard's activities are supervised by G. Chadd Mason, CE O, and Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Woodard adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may



contact Mr. Woodard at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Stephen Scott Pokorny**  
**Investment Adviser Representative**

**Cabana, LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Stephen S. Pokorny that supplements the Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen S. Pokorny is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Pokorny's CRD number is 2325940.

**Item 2 – Educational Background and Business Experience**

**Stephen S. Pokorny**

**Year of Birth:** 1969

***Formal Education after High School:***

- Wittenberg University, BA in English and Business, 1992

***Business Background:***

- 03/22-Present, Cabana, LLC, Investment Advisor Representative,
- 05/20-Present, The Cabana Group, Head of Strategic Distribution/Institutional Sales

**Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Pokorny and Cabana Asset Management. Mr. Pokorny has not been involved in any reportable disciplinary events.

**Item 4 – Other Business Activities**

Mr. Pokorny has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

**Item 5 – Additional Compensation**

Mr. Pokorny does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

#### **Item 6 – Supervision**

Mr. Pokorny is an investment adviser representative of Cabana Asset Management. In this role, Mr. Pokorny is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Pokorny's advisory activities are supervised by G. Chadd Mason, CEO, and Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Pokorny to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Pokorny at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Casey M. Mattox**  
**Investment Adviser Representative**

**Cabana, LLC**  
**d/b/a**  
**Cabana Asset Management**

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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Casey M. Mattox that supplements the Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Casey M. Mattox is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Mattox's CRD number is 7610457.

### Item 2 – Educational Background and Business Experience

**Casey M Mattox**

**Year of Birth:** 1992

**Formal Education after High School:**

- 2-15 License for Health and Life Insurance
- Series 65 for Investment Advising
- Florida Real Estate License

**Business Background:**

- 02/17-Present, US Health Advisors, Insurance Agent
- 06/22-Present, The Cabana Group, Independent Adviser Representative/Institutional Business Development Representative,

### Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Mattox and Cabana Asset Management. Mr. Mattox has not been involved in any reportable disciplinary events.

### Item 4 – Other Business Activities

Mr. Mattox has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients. Mr. Mattox is a licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities but does not currently do

### Item 5 – Additional Compensation

Mr. Mattox does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

#### **Item 6 – Supervision**

Mr. Mattox is an investment adviser representative of Cabana Asset Management. In this role, Mr. Mattox is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Mattox' advisory activities are supervised by G. Chadd Mason, CEO and Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Mattox to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Mattox at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



**Albert Moore**

**Chief Compliance Officer**

**Cabana, LLC  
d/b/a  
Cabana Asset Management**

Located at:

5560 Tennyson Pkwy., Suite 260  
Plano, TX 75024  
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**March 26, 2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Albert Moore that supplements the Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Albert Moore is available on the SEC's website at <https://adviserinfo.sec.gov>. Mr. Moore's CRD number is 6007672.



## **Item 2 – Educational Background and Business Experience**

**Albert Moore**

**Year of Birth:** 1965

**Formal Education after High School:**

- Lake Forest College, Bachelor of Arts in International Relations and Politics, 1987
- Creighton University, JD 1994
- Member of the Bar in the following jurisdictions: MA, ME & DC
- Holds FINRA Series 7,24,63 registrations

**Business Background:**

- 22- Present, ACA Group, Senior Director
- 15-22, CCO AGF Investments America Inc.
- 09-15, VP Compliance, AGF Investments America Inc.
- 09-22 Served as Supervising Principal for AFG Investments, Inc.
- 07-09, State Street, Investment management compliance and risk oversight.
- 05-07, Bank of America, Investment management compliance and risk oversight, with progressively expanding duties.
- 88-05, Mellon Private Wealth, Investment management compliance and risk oversight.

## **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Moore and Cabana Asset Management. Mr. Moore has not been involved in any reportable disciplinary events.

## **Item 4 – Other Business Activities**

Mr. Moore has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

## **Item 5 – Additional Compensation**

Mr. Moore does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

**Item 6 – Supervision**

Mr. Moore serves as Chief Compliance Officer for Cabana Asset Management. In this role, Mr. Moore, in coordination with Cabana senior management and Compliance personnel, administers the overall compliance program for the firm. Mr. Moore's advisory activities are supervised by G. Chadd Mason, the Chief Executive Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Moore complies with Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Moore at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.