

Cabana LLC
Form ADV Part 2B Brochure Supplements
March 6, 2025

This package is Cabana' brochure supplement (Part 2B of Form ADV) and includes information about each of Cabana's investment adviser representatives and/or portfolio managers (listed below), such as educational background, business experience, and any disciplinary history.

1. George Chaddwick Mason
2. Louis Shaff
3. Michelle Alsobrook

George Chaddwick Mason, J.D., LL.M., CWM

CEO
Investment Adviser Representative

Cabana LLC
d/b/a
Cabana Asset Management

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March 28, 2025

Form ADV Part 2B Brochure Supplement

This Brochure Supplement provides information about George Chaddwick Mason that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at 479.442.6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about George Chaddwick Mason is available on the SEC's website at **https://adviserinfo.sec.gov**. Mr. Mason's CRD number is 5449388.

Item 2 – Educational Background and Business Experience

George Chaddwick Mason, J.D., LL.M, CWM
Year of Birth: 1967
Formal Education after High School:

- Masters of Laws in International Taxation and Financial Services, Thomas Jefferson University, 2014
- Chartered Wealth Manager, CWM, 2014
- Capital Crimes and Death Penalty Qualified, 1999
- Juris Doctor, University of Denver, 1992
- B.A., Psychology, University of Arkansas, 1989

Business Background:
Investment Experience

- 03/25- Present, Corient Private Wealth, Partner, Investment Advisor Representative
- 01/17 – Present, The Cabana Group, LLC, CEO
- 12/16 – Present, Cabana Institutional LLC, Manager
- 07/15 – Present, Cabana Financial, Manager/Producer
- 01/08 - Present, Cabana LLC (Cabana Asset Management), Manager/Investment Adviser Representative

Legal Experience

- 08/16 – Present, Cabana Law Group formerly Prevost, Shaff, Mason, & Carns, PLLC, Attorney/Principal
- 12/13 - 07/16, Gunn, Mason and Kieklak
- 6/11 - 12/13, Circuit Judge, 4th District, State of Arkansas
- 08/95 - 5/11, Mason Law Firm
- 1/93 - 7/95, Martin, Trumbo, and Sterling

Professional Designations and Qualifications:

Masters of Law (LL.M.)
A Masters of Law is a postgraduate academic degree, pursued by those either holding an undergraduate academic law degree, a professional law degree, or an undergraduate degree in a related subject.

Juris Doctor (JD)
Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year baccalaureate degree for admission, and law school is a three-year (six-semester) course of graduate-level study.

Chartered Wealth Manager (CWM)
CWM is a professional designation administered by the American Academy of Financial Management®. The CWM wealth management certification & designation is similar to a financial planning certification but is as a graduate certification and curriculum in high net worth consulting and has always required a government recognized education and degree. In or to qualify for the CWM designation, candidates must have three years or more of professional experience in wealth management. Candidates must have requisite educational experience, which may include (1) an AAFM approved degree, (2) an undergraduate or graduate degree from an accredited university in areas including finance, tax, accounting, law, or master's in business administration. All candidates are subject to continuing education and ethics requirements.

Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Mason and Cabana Asset Management. Mr. Mason has not been involved in any reportable disciplinary events.

Item 4 – Other Business Activities

G. Chadd Mason, Manager and Investment Adviser Representative of Cabana LLC and a licensed insurance agent able to effect transactions in insurance products and earn compensation for these various activities. The firm expects that clients to whom it offers advisory services may also be clients for whom Mr. Mason acts as an insurance agent. Clients are instructed that the fees paid to the firm for advisory services are separate and distinct from the commissions earned by its Investment Adviser Representatives for placing the client in insurance products. Clients to whom the firm offers advisory services are informed that they are under no obligation to purchase insurance services from Mr. Mason and may use the insurance brokerage firm and agent of their choice. A Memorandum of Understanding is executed at the time services are retained which provides, "The parties recognize that the investment adviser is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal serves now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted."

G. Chadd Mason, Manager and investment adviser representative of Cabana, is also a licensed attorney and principal of the Cabana Law Group LLC, a law practice located in Fayetteville, Arkansas. This fact is disclosed to clients during the initial client consultation. At the time of execution of the advisory agreement, clients are required to explicitly acknowledge their understanding that Mr. Mason is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the advisory relationship.

The receipt of dual compensation creates a conflict of interest. The conflict is mitigated by the fact that the firm has adopted a code of ethics that requires all associated persons to place the interests of clients first. Additionally, as attorneys, associated persons of the firm are also fiduciaries and are required to act in the best interest of clients.

Clients of the Cabana Law Group LLC are not required to invest with Cabana and clients of Cabana are not required to obtain legal advice from the Cabana Law Group, as all clients have the right to choose their legal representation and investment adviser.

Mr. Mason spends the majority of his professional time in his capacities as an executive and portfolio manager with Cabana Asset Management providing portfolio development to the firm, asset management and financial services. His legal practice is limited to investments and investment adviser compliance.

Mr. Mason is also a member of, 6220, LLC, which is a family-owned holding company. Mr. Mason's involvement in this entity is passive and limited in scope. Mr. Mason is a member of Mason Farms LLC which is an agricultural cattle business, and his involvement is limited in scope. Finally, Mr. Mason is a shareholder is several real estate holdings in Fayetteville, Arkansas and Dallas, Texas. His involvement in such entities is passive and limited. All such holdings of Mr. Mason are private and not comingled with any client relationship.

Item 5 – Additional Compensation

Apart from the receipt of additional compensation as a result of the outside business activities listed under Item 4 above, Mr. Mason does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

Item 6 – Supervision

Mr. Mason is an investment adviser representative of Cabana. In this role, Mr. Mason is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mr. Mason's investment advisory activities are supervised by Cabana's CFO, Louis Shaff.

Cabana has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Mason adheres himself to Cabana' code of ethics and compliance manual as mandated. Clients may contact Mr. Mason at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana's code of ethics.

Additionally, Cabana is subject to regulatory oversight by various agencies. These agencies require registration by Cabana and its employees. As a registered entity, Cabana is subject to examinations by regulators, which may be announced or unannounced. Cabana is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.

Louis A. Shaff, J.D., LL.M. Taxation
CFO
Investment Adviser Representative

Cabana LLC
d/b/a
Cabana Asset Management

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March 28, 2025

Form ADV Part 2B Brochure

This Brochure Supplement provides information about Louis A. Shaff that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at (479) 442-6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Louis A. Shaff is available on the SEC's website at **https://adviserinfo.sec.gov**. Mr. Shaff's CRD number is 5449638.

Item 2 – Educational Background and Business Experience

Louis A. Shaff, J.D., LL.M. Taxation
Year of Birth: 1967

Formal Education after High School:

- Bachelor of Business Administration, The George Washington University, Washington, D.C. 1989
- Juris Doctor, University of Denver, Denver, Colorado 1993
- Masters in Laws in Taxation, University of Denver, Denver, Colorado 1994

Business Background:

Investment Experience

- 3/25- Present, Corient Private Wealth, Partner, Investment Adviser Representative
- 1/13 - Present, Cabana LLC (Cabana Asset Management), Member/Investment Adviser Rep
- 7/15 – Present, Cabana Financial, Manager/Producer
- 12/16 – Present, Cabana Institutional, Manager
- 1/17- Present, The Cabana Group, LLC, CFO

Legal Experience

- 4/99 – 09/16, Prevost and Shaff, Attorney/Principal
- 09/16 – 03/23, Prevost, Shaff, Mason, & Carns, PLLC, Attorney/Principal
- 04/23 – Present, Hesse, Hesse & Blythe, P.C.

Professional Designations and Qualifications:
Master of Law (LL.M.)

A Master of Law is a post graduate academic degree, pursued by those either holding an undergraduate academic law degree, a professional law degree, or an undergraduate degree in a related subject.

Juris Doctor (JD)

Juris Doctor, or JD, denotes the form of law degree issued by most of the nation's law schools, and forms one of the educational prerequisites to the practice of law. Most law schools require a four-year Baccalaureate degree for admission and law school is a three-year (six-semester) course of graduate-level study.

Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Shaff and Cabana Asset Management. Mr. Shaff has not been involved in any reportable disciplinary events.

Item 4 – Other Business Activities

Louis A. Shaff, Investment Adviser Representative of Cabana, is also a licensed insurance agent and can affect transactions in insurance products and earn compensation for these activities. The firm expects that clients to whom it offers advisory services may also be clients for whom Mr. Shaff acts as an

insurance agent. Clients are instructed that the fees paid to the firm for advisory services are separate and distinct from the commissions earned by its Investment Adviser Representatives for placing the client in insurance products. Clients to whom the firm offers advisory services are informed that they are under no obligation to purchase insurance services from Mr. Shaff and may use the insurance brokerage firm and agent of their choice.

Louis A. Shaff is also a licensed attorney and Partner of Hesse, Hesse & Blythe, P.C., a law practice located in Plano, Texas. This fact is disclosed to clients during the initial client consultation. A Memorandum of Understanding is executed at the time services are retained which provides, "The parties recognize that the investment adviser is also a licensed attorney and while advice of a legal nature may be sought and provided, it is incidental to the relationship set out here. Moreover, the relationship set out herein is in no way a solicitation to provide legal services nor does it constitute a requirement that the client use the Advisor for legal serves now or in the future. If any such legal services or advice provided is billed to the client, it shall be clearly identified as legal in nature, subject to the attorney/client relationship and shall be separately accounted."

Mr. Shaff spends the majority of his professional time in his capacities with Cabana LLC (Cabana Asset Management) providing executive leadership, asset management and financial services. His legal practice is limited to tax matters, estate planning, business planning, investments, and investment adviser compliance.

Mr. Shaff is also a member of BLBS, LLC, which is a family owned holding company. Mr. Shaff's involvement in this entity is passive and limited in scope.

Mr. Shaff has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

Item 5 – Additional Compensation

Apart from the receipt of additional compensation as a result of the outside business activities listed under Item 4 above, Mr. Shaff does not receive additional compensation or economic benefits from third party sources in connection to his advisory activities.

Item 6 – Supervision

Mr. Shaff is an investment adviser representative and CFO of Cabana LLC (Cabana Asset Management). In this role, Mr. Shaff is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. As CFO, Mr. Shaff is responsible for overseeing Cabana's finances. Mr. Shaff's advisory and management activities are supervised by Cabana's CEO, Chadd Mason.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Shaff adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mr. Shaff at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.



Michelle L. Alsobrook

Vice President of Operations
Investment Adviser Representative

Cabana LLC
d/b/a
Cabana Asset Management

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March 28, 2025

Form ADV Part 2B Brochure Supplement

This Brochure Supplement provides information about Michelle L. Alsobrook that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at 479.442.6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Michelle L. Alsobrook is available on the SEC's website at **https://adviserinfo.sec.gov**. Mrs. Alsobrook's CRD number is 4844599.

Item 2 – Educational Background and Business Experience

Michelle L. Alsobrook
Year of Birth: 1969
Formal Education after High School:

- Saginaw Valley State University, Bachelor of Arts, Physical Education, 1991

Business Background:

- 3/25-Present, Corient Private Wealth, Sr. Client Services Specialist
- 06/16-Present, Cabana Asset Management, VP of Client Services/Investment Advisor Representative

Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mrs. Alsobrook and Cabana Asset Management. Mrs. Alsobrook has not been involved in any reportable disciplinary events.

Item 4 – Other Business Activities

Mrs. Alsobrook is a licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities but does not currently do so.

Ms. Alsobrook has no other relationships or affiliations with any other companies or individuals that would lead to a material conflict of interest for clients.

Item 5 – Additional Compensation

Mrs. Alsobrook does not receive additional compensation or economic benefits from third party sources in connection to her advisory activities.

Item 6 – Supervision

Mrs. Alsobrook is an investment adviser representative of Cabana Asset Management. In this role, Mrs. Alsobrook is responsible for the monitoring of client portfolios for investment objectives and other supervisory reviews. Mrs. Alsobrook's activities are supervised by Albert Moore, the Chief Compliance Officer of Cabana Asset Management.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mrs. Alsobrook adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact Mrs. Alsobrook at the phone number listed on the cover of this Brochure Supplement, to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.

Sonya Clements

Chief Compliance Officer / Chief Operations Officer

Cabana LLC
d/b/a
Cabana Asset Management

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May 29, 2025

Form ADV Part 2B Brochure Supplement

This Brochure Supplement provides information about Sonya Clements that supplements Cabana Asset Management Brochure. You should have received a copy of that Brochure. Please contact us at 479.442.6464 if you did not receive Cabana Asset Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Cabana Asset Management is available on the SEC's website at **https://adviserinfo.sec.gov**. Cabana Asset Management's CRD number is 151418.

Item 2 – Educational Background and Business Experience

Sonya Clements
Year of Birth: 1970
Formal Education after High School:

- University of North Texas; B.S. in Business Management; 2026
- Dallas County College; Associate Degree; 2013

Business Background:

- 05/2025 – Present; Cabana Asset Management; Chief Compliance Officer / Chief Operations Officer
- 03/2023 – Present; Hesse, Hesse & Blythe, PC; Paralegal
- 11/2022 – Present; The National Hemophilia Association; Board Member
- 01/2018 – Present; The Cabana Group, LLC; Vice President of Operations/ Accounting Manager
- 08/2016 – 03/2023; Cabana Law Group and "PSMC" partners Neal Prevost and Louis Shaff; Office Manager / Paralegal
- 01/2015 – 01/2018; Cabana, LLC; Administrative Assistant; Assistant to CCO; Administrative
- 10/2000 – 08/2016; Prevost & Shaff; Paralegal and Administrative

Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Ms. Clements and Cabana Asset Management. Ms. Clements has not been involved in any reportable disciplinary events.

Item 4 – Other Business Activities

Ms. Clements is Vice President of Operations and the Accounting Manager of The Cabana Group, LLC, of which Cabana Asset Management is a subsidiary.

Ms. Clements is a paralegal and spends approximately twenty percent of her professional time working with Hesse, Hesse & Blythe, PC. The services provided and compensation received by Ms. Clements for her activities as a paralegal are separate and distinct from any fees paid for advisory services provided by our firm.

Item 5 – Additional Compensation

Apart from the receipt of compensation from the outside business activities listed under Item 4 above, Ms. Clements does not receive additional compensation or economic benefits from third party sources in connection to her activity with Cabana Asset Management.

Item 6 – Supervision

Ms. Clements is the Chief Compliance Officer and Chief Operations Officer of Cabana Asset Management. In this capacity, Ms. Clements is responsible for the implementation of the firm's compliance program. Ms. Clements reports directly to G. Chadd Mason, Chief Executive Officer of Cabana Asset Management, and Louis Shaff, Chief Financial Officer of Cabana Asset Management. Mr. Mason and Mr. Shaff are responsible for supervising the advisory activities and personnel of Cabana Asset Management. Mr. Mason and Mr. Shaff can be reached at the phone number listed on the cover of this Brochure Supplement.

Cabana Asset Management has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Ms. Clements adheres to Cabana Asset Management's Code of Ethics and compliance manual as mandated. Clients may contact the phone number listed on the cover of this Brochure Supplement to obtain a copy of Cabana Asset Management's Code of Ethics.

Additionally, Cabana Asset Management is subject to regulatory oversight by various agencies. These agencies require registration by Cabana Asset Management and its employees. As a registered entity, Cabana Asset Management is subject to examinations by regulators, which may be announced or unannounced. Cabana Asset Management is required to periodically update the information provided to these agencies and to provide various reports regarding the firm’s business and assets under management.