

AWARDS & RECOGNITION WEBSITE DISCLAIMER LANGUAGE

REVISION DATE: DECEMBER 08, 2021

Third-party rankings, awards listings and/or recognition by unaffiliated third-party rating services and/or publications should not be construed by a client or prospective client as a guarantee that he/she will experience a certain level of results if Cabana LLC dba Cabana Asset Management and its affiliates (together “Cabana”) are engaged, or continue to be engaged, to provide investment advisory services, nor should it be construed as a current or past endorsement of Cabana by any of its clients. Third-party rankings and recognition from rating services and publications do not guarantee future investment success. Working with a highly-rated investment adviser also does not ensure that a client or prospective client will experience a higher level of performance. Generally, but not always, ratings, rankings and recognition are based on information provided by Cabana. A more detailed disclosure of the criteria used in making these awards listings, rankings and/or recognition is included below.

Financial Advisor Magazine: #31 Fastest-Growing Firm in the U.S. 2020 Top 50 Fastest Growing Firm Ranking, July/August 2021 issue.

Methodology: Financial Advisor magazine is a monthly financial services publication that delivers market information, strategies and trends to help advisors better serve their clients. Registered Investment Advisers with minimum AUM of \$500 million were ranked based on AUM increase from 2019 to 2020. More information can be found at <https://www.fa-mag.com/>.

Disclaimer: The Financial Advisor Magazine 2020 Top 50 Fastest-Growing Firms ranking is not indicative of Cabana’s future performance and may not be representative of actual client experiences. Cabana did not pay a fee to participate in the ranking and survey and is not affiliated with Financial Advisor Magazine. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Financial Advisor Magazine: #19 Fastest-Growing Firm in the U.S. 2020 Top 50 Fastest Growing Firm Ranking, August 2020 issue.

Methodology: Financial Advisor magazine is a monthly financial services publication that delivers market information, strategies and trends to help advisors better serve their clients. Registered Investment Advisers founded in 2015 or before with minimum AUM of \$400 million were ranked based on number of clients in 2019, percentage growth in total percentage assets under management from year end 2018 to 2019, and growth in percentage growth in assets per client during the same time period. More information can be found at <https://www.fa-mag.com/>.

Disclaimer: The Financial Advisor Magazine 2020 Top 50 Fastest-Growing Firms ranking is not indicative of Cabana’s future performance and may not be representative of actual client experiences. Cabana did not pay a fee to participate in the ranking and survey and is not affiliated with Financial Advisor Magazine. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Inc. Magazine: #3060 Fastest-Growing Company in the 2020 Inc. 5000 list, August 2020 issue.

Methodology: Companies on the 2020 Inc. 5000 are ranked according to percentage revenue growth from 2016 to 2019. To qualify, companies must have been founded and generating revenue by March 31, 2016. They must be U.S.-based, privately held, for-profit, and independent--not subsidiaries or divisions of other companies--as of December 31, 2019. (Since then, some on the list may have gone public or been acquired.) The minimum revenue required for 2016 is \$100,000; the minimum for 2019 is \$2 million. Companies are ranked according to the percentage growth of their annual revenue over a three-year period. The equation for growth percentage is: $[(\text{Recent Yearly revenue} - \text{Base Year revenue}) / \text{Base Year revenue}] \times 100 = \text{total growth percentage}$. As always, Inc. reserves the right to decline applicants for subjective reasons. Growth rates used to determine company rankings were calculated to three decimal places. More information can be found at <https://incmagazine.zendesk.com/hc/en-us/sections/360000019406-General-Information> and <https://www.inc.com/inc5000/2020>.

Disclaimer: The 2020 Inc. 5000 ranking is not indicative of Cabana's future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana paid an application fee to participate in the ranking. Cabana is not affiliated with Inc. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

WealthManagement.com: 2019 Thrive List of Fastest-Growing Advisors in the U.S., Thrive Award Winner, November 1, 2019.

Methodology: WealthManagement.com, an Informa business, provides everything wealth professionals need to know to stay knowledgeable about the industry, build stronger relationships, improve their practice, and grow their business—all from one site. The 2019 Thrive Awards list was compiled by measuring percentage revenue growth over the previous three years. To be eligible, advisors had to be free of regulatory actions, based in the U.S., offer financial services to individual clients and have been receiving revenue since January 1, 2015. WealthManagement.com defines revenue as gross revenue from AUM fees, commissions, trails, hourly or subscription fees, retainers and other similar wealth and investment management revenue before any costs or expenses. In 2019, several hundred advisors applied for the inaugural list, and approximately 250 were recognized with a WealthManagement.com Thrive Award. The average growth rate of Thrive Advisors was three times that of the overall industry. [Click here for more information regarding the ranking.](#)

Disclaimer: The WealthManagement.com 2019 Thrive List of Fastest-Growing Advisors in the U.S. Award is not indicative of Cabana's future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana paid an application fee to participate in the ranking. Cabana is not affiliated with WealthManagement.com. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Northwest Arkansas Business Journal: "Fast Mover: Fayetteville's The Cabana Group is the Fastest-Growing private Company in Arkansas" cover story, October 2019 issue, Vol. 23 No. 16.

Methodology: Cabana was independently approached (unsolicited) by Northwest Arkansas Business Journal to be the cover story for their October 2019 issue. Cabana provided information about its operations at the request of Northwest Arkansas Business Journal and its principals were interviewed for the story. However, Cabana did not have editorial discretion with respect to the story but was afforded an opportunity to review the article to ensure the accurate description of its products and services prior to it being published. Rankings mentioned are based on Inc. 5000 September 2019 issue FA Magazine July 2019 issue.

Disclaimer: The Northwest Arkansas Business Journal cover story article is not indicative of Cabana’s future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana did not pay any fee to participate in the article. Cabana is not affiliated with Northwest Arkansas Business Journal. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC. Additional information can be obtained at www.nwabusinessjournal.com

Northwest Arkansas Business Journal: #1 Largest Locally Owned Independent Wealth Management Firms; The List, October 2019 issue, Vol. 23 No. 16.

Methodology: Companies on the 2019 Northwest Arkansas Business Journal “The List” were ranked by assets managed during the most recent fiscal year, Dollars in millions. Bank-based SEC registered advisers were excluded. Sourced from U.S. Securities and Exchange Commission (“SEC”) and the firms listed.

Disclaimer: The 2019 Northwest Arkansas Business Journal “The List”: ranking is not indicative of Cabana’s future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana did not pay an application fee to participate in the ranking. Cabana is not affiliated with Northwest Arkansas Business Journal. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC. Additional information can be obtained at www.nwabusinessjournal.com

Inc. Magazine: #671 Fastest-Growing Company in the 2019 Inc. 5000 list and #1 Fastest Growing Company in Arkansas, September 2019 issue.

Methodology: Companies on the 2019 Inc. 5000 are ranked according to percentage revenue growth from 2015 to 2018. To qualify, companies must have been founded and generating revenue by March 31, 2015. They must be U.S.-based, privately held, for-profit, and independent--not subsidiaries or divisions of other companies--as of December 31, 2018. (Since then, some on the list may have gone public or been acquired.) The minimum revenue required for 2015 is \$100,000; the minimum for 2018 is \$2 million. As always, Inc. reserves the right to decline applicants for subjective reasons. Growth rates used to determine company rankings were calculated to three decimal places. There were 12 ties on this year's Inc. 5000. More information can be found at <https://www.inc.com/inc5000/2019>

Disclaimer: The 2019 Inc. 5000 ranking is not indicative of Cabana’s future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana paid an application fee to participate in the ranking. Cabana is not

affiliated with Inc. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Financial Advisor Magazine: #2 Fastest-Growing Firm in the U.S. 2019 Top 50 Fastest Growing Firm Ranking, July 2019 issue.

Methodology: Financial Advisor magazine is a monthly financial services publication that delivers market information, strategies and trends to help advisors better serve their clients. Registered Investment Advisers founded in 2015 or before with minimum AUM of \$300 million were ranked based on number of clients in 2018, percentage growth in total percentage assets under management from year end 2017 to 2018, and growth in percentage growth in assets per client during the same time period. More information can be found at <https://www.fa-mag.com/>.

Disclaimer: The Financial Advisor Magazine 2019 Top 50 Fastest-Growing Firms ranking is not indicative of Cabana's future performance and may not be representative of actual client experiences. Cabana did not pay a fee to participate in the ranking and survey and is not affiliated with Financial Advisor Magazine. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Northwest Arkansas Council: Region Rising Companies Earn Spots on Inc. 5000 List, August 20, 2018 article.

Methodology: Cabana was recognized, along with seven other Northwest Arkansas companies, as being listed in the 2018 Inc. 5000 list. Click [here](#) to read the article. Selection was based entirely on the Inc. 5000 2018 ranking (disclosed below) and being a company in Northwest Arkansas. Cabana provided general information to the Northwest Arkansas Council at their request but did not have editorial input and was not afforded an opportunity to review or revise the article prior to it being published.

Disclaimer: The Northwest Arkansas Council article is not indicative of Cabana's future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana did not pay any fee to participate in the article. Cabana is not affiliated with the Northwest Arkansas Council. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Wealth & Finance Magazine: Asset Manager of the Year 2018 – Southern USA - Wealth & Money Management Awards.

Methodology: Complete information about the awards methodology can be obtained [here](#) and is generally described as follows by Wealth & Finance Magazine:

- Entry: Entry is open to any organization and can take the form of self-submission, third-party recommendation or publisher-cast nomination. Entries made via our website are anonymous, secure and details are not shared with third party organisations. The preponderance of votes is driven by Wealth & Finance International; we send direct entry links to relevant organizations, both within the industry and to our extensive circulation. We also nominate those who we deem worthy. Where appropriate, media partners and sponsors may also distribute voting forms on

our behalf. Prior to undertaking any research, we ask all nominees to formally accept or decline their nomination, allowing us to focus our efforts on those who choose to engage with the process and are comfortable being assessed in further detail. Nominees accepting their nomination form what becomes our shortlist; these candidates progress to an internal review. There is no cost associated with any part of accepting a nomination or receiving an award and entries can withdraw at any time.

- **Evaluation:** As we accept self-nominations, the number of votes do not determine the winners. All organizations are assessed based on the merit of their endeavors, the overall strength of their entry, and have evidence of recent accomplishments to convince our in-house research team that they are worthy. We use a combination of primary and secondary research techniques to acquire both qualitative and quantitative data depending on its relevance to the program; when rewarding specific funds for instance, we will rely on quantitative information over fixed timeframes whereas determining the success of a business as whole, we may appraise them more on the qualitative aspects of their past performance. In addition to our research, we invite nominees to submit substantiating evidence. By providing this evidence nominees gain the opportunity to submit information that may not be found in the public domain or on the nominees' website; therefore, this can form an important part of the entry process. A formal document is produced by us, the publisher, to collate this information; however, our research team accepts filetypes in most common formats
- **Judging:** Our professional researchers scrutinize information presented across all mediums and work alongside our senior judge to make the ultimate decision. To advance from nominee to winner; the candidate must be able to demonstrate expertise in a given area, dedication to client fulfilment, noteworthy performance or commitment to innovation.
- **Promotion:** Initially, results are announced in confidence, to the winners, and we set an informal press-embargo. During this time, the award coordinator will liaise with the winners to review the items in our promotional toolkit. The promotional toolkit is made up of various packages and celebratory items (some free of charge, some paid-for) designed by our in-house production and marketing team to achieve to help winners achieve maximum brand exposure. As our winners select their chosen packages, our production team commence on the fulfilment of all items necessary for the formal announcement, when the celebratory magazine is published and the press embargo lifted.

Disclaimer: The 2018 Wealth & Money Management Award is not indicative of Cabana's future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana did not pay an application fee to participate in the ranking and was anonymously nominated. Cabana is not affiliated with Wealth & Finance Magazine. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Inc. Magazine: #457 Fastest-Growing Company in the 2018 Inc. 5000 list, September 2018 issue.

Methodology: The 2018 Inc. 5000 is ranked according to percentage revenue growth when comparing 2014 and 2017. To qualify, companies must have been founded and generating revenue by March 31,

2014. They had to be U.S.-based, privately held, for profit, and independent—not subsidiaries or divisions of other companies—as of December 31, 2017. (Since then, a number of companies on the list have gone public or been acquired.) The minimum revenue required for 2014 is \$100,000; the minimum for 2017 is \$2 million. As always, Inc. reserves the right to decline applicants for subjective reasons. Companies on the Inc. 500 are featured in Inc.’s September issue. They represent the top tier of the Inc. 5000, which can be found at <https://www.inc.com/inc5000/2018>.

Disclaimer: The 2018 Inc. 5000 ranking is not indicative of Cabana’s future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana paid an application fee to participate in the ranking. Cabana is not affiliated with Inc. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Financial Advisor Magazine: “From Legal Eagle to Financial Shepherd” cover story, August 2018 issue.

Methodology: Cabana was independently approached (unsolicited) by Financial Advisor Magazine to be the cover story for their August 2018 issue. Cabana’s provided information about its operations at the request of Financial Advisor Magazine and its principals were interviewed for the story. However, Cabana did not have editorial input and was not afforded an opportunity to review or revise the article prior to it being published.

Disclaimer: The Financial Advisor Magazine cover story article is not indicative of Cabana’s future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana did not pay any fee to participate in the article. Cabana is not affiliated with Financial Advisor Magazine. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Financial Advisor Magazine: #1 Fastest-Growing Firm in the U.S. 2018 Top 50 Fastest Growing Firm Ranking, July 2018 issue.

Methodology: Financial Advisor magazine is a monthly financial services publication that delivers market information, strategies and trends to help advisors better serve their clients. Registered Investment Advisers were ranked based on percentage growth in year-end 2017 AUM over year-end 2016 AUM with minimum AUM of \$250 million, assets per client, and growth in percentage assets per client. [Click here for more information regarding the ranking.](#)

Disclaimer: The Financial Advisor Magazine 2018 Top 50 Fastest-Growing Firms ranking is not indicative of Cabana’s future performance and may not be representative of actual client experiences. Cabana did not pay a fee to participate in the ranking and survey and is not affiliated with Financial Advisor Magazine. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Arkansas Business weekly business journal, #15 Largest Money Manager, Vol. 34 No. 33 August 14-20, 2017.

Methodology: The list is based on the most recent Form ADV filed with the U.S. Securities & Exchange Commission. Firms whose most recent filings were more than 15 months old were excluded. Rounded to nearest 100.

Disclaimer: The Arkansas Business Weekly Journal rating is not indicative of Cabana’s future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana did not pay a fee to participate in the ranking and survey and is not affiliated with Arkansas Business Weekly. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.

Runner Up Best Investment Firm: Citiscapes Magazine Best of NWA Readers Choice, December 2017 issue; December 2016 issue.

Methodology: Awardees of Citiscapes Magazines “Best of NWA” Readers Choice categories are selected based upon the voting of Citiscapes Magazines’ readers on the magazine’s Website at <http://www.bestofnwa.com/>, which is open to the public. Voting instructions are as follows:

“Incomplete ballots are acceptable; vote in one or as many categories as you wish. You must be 18 or older to cast a ballot. Contact the NWA CitiScapes Magazine office at (479) 582-1061 or e-mail for more information. Duplicate Entries Will Not Be Counted. Only one ballot per person is allowed. Simply hit the tab key to advance to the next category. Hitting the enter key will submit your entire ballot”

Disclaimer: The Citiscapes Magazine Best of NWA Regards Choice rating is not indicative of Cabana’s future performance, may not be representative of actual client experiences, and should not be viewed as an endorsement or specific client evaluation of Cabana. Cabana did not pay a fee to participate in the ranking and survey and is not affiliated with Citiscapes Magazine. Investment advisory services provided through Cabana LLC, a subsidiary of The Cabana Group, LLC.